

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Akihiro NITAYAMA et al.

Serial No: 09/660,390

Filed: September 12, 2000

For: DYNAMIC SEMICONDUCTOR MEMORY DEVICE HAVING
A TRENCH CAPACITOR AND METHOD OF MANUFACTURING
THE SAME (AS AMENDED)

Group Art No. 2814

Examiner: H. Weiss

Docket No. 000629.00002

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO/SB/08A form.

The references identified on the attached PTO/SB/08A form were cited by the Japanese Patent Office in an office action for a counterpart application. Since the references are in the Japanese language, Applicants have also enclosed copies of the English-language Abstracts.

It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed PTO/SB/08A form in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609.


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In submitting the present paper, Applicants make no representation as to the relevance of the cited references to the pending claims, and expressly reserve the right to distinguish and/or disqualify any of the cited references should they be applied in a future Office Action.

Each item of information contained herein was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the present paper. Please charge the fee required under 37 C.F.R. §1.97(d)(2), and any other fees required in connection with this filing, to deposit account number 19-0733.

Respectfully submitted,

 #42,402

For

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Dated: July 16, 2003

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PTO/SB/08A (10-01)
 Approved for use through 10/31/2002. OMB 0651-0031
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| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | Complete if Known | |
| Application Number | 09/660,390 | | |
| Filing Date | September 12, 2000 | | |
| First Named Inventor | Akihiro Nitayama | | |
| Group Art Unit | 2814 | | |
| Examiner Name | H. Weiss | | |
| Attorney Docket Number | 000629.00002 | | |
| Sheet | 1 | of | 1 |

| U.S. PATENT DOCUMENTS | | | | | |
|------------------------|--------------------------|--|--------------------------------|--|---|
| Examiner Initials * | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number - Kind Code ² (if known) | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
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| Examin er Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | † ⁶ |
| | | Country Code ³ - Number ⁴ - Kind Code ⁵ (if known) | | | | |
| | | JP 8064779 A | 03-08-1996 | Noguchi Mitsuhiro | | Abst. |
| | | JP 6204429 A | 07-22-1994 | Nitayama Akihiro et al. | | Abst. |

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| Examiner Signature | | Date Considered | |
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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